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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/808,200	03/24/2004	Georg Mayer	NOKM.090PA

CONFIRMATION NO. 3914

FORMALITIES LETTER



OC000000012885934

Crawford Maunu PLLC
 Suite 390
 1270 Northland Drive
 St. Paul, MN 55120

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/13/2004 HALL11 00000060 500996 10808200

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 DA
 02 FC:1051 130.00 DA
 03 FC:1201 172.00 DA
 04 FC:1202 270.00 DA

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$442** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1342** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$442**

- \$172 for 2 independent claims over 3.
- \$270 for 15 total claims over 20.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Michael Heber

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MAYER et al. Examiner: Unassigned
Serial No.: 10/808,200 Group Art Unit: 2661
Filed: 3/24/2004 Docket No.: NOKM.090PA
Title: SYSTEM AND METHOD FOR ENFORCING POLICIES DIRECTED TO
SESSION-MODE MESSAGING

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 7, 2004.

By: Tracey M. Dotter
Tracey M. Dotter

RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application, mailed June 7, 2004,

Applicants hereby attach the following:

- 1) a copy of the Notice to File Missing Parts;
- 2) a fully-executed Declaration by the inventors; and
- 3) a Petition for Extension of Time.

\$770.00	Basic Filing Fee
130.00	Missing Parts Fee
+ 442.00	Claims Surcharge
(15 total claims over 20; 2 independent claims over 3)	
= \$1342.00	TOTAL FEES

Please charge Deposit Account No. 50-0996 (NOKM.090PA) the requisite filing and missing parts fees, which **total \$1342.00**.

Authority is also given to charge/credit Deposit Account No. 50-0996 (NOKM.090PA) for any credits or deficiencies herein.

Respectfully submitted,
CRAWFORD MAUNU PLLC
1270 Northland Drive
Suite 390
St. Paul, MN 55120
(651) 686-6633

Date: September 7, 2004

By: Steven R. Funk
Steven R. Funk
Reg. No.: 37,830